

Table 8: Circumstances where DAG will not be paid

Adaptation Category	Breach of Requirements leading to Ineligibility for DAG
All adaptations	<p>1) Claims made more than SIX MONTHS following the date of Practical Completion will not be paid.</p> <p>N.B. Invalid or incomplete claims made within the six months but which are not 'payable' at the deadline expiry will not be paid.</p>
	<p>2) Adaptations compromising LTH features without fulfilling the advance approval requirement will not be paid and will require HAG recoupment from the original scheme payment.</p>
	<p>3) Adaptations entailing the installation of 'Assistive technology' without fulfilling the advance approval requirement will not be paid.</p>
	<p>4) Adaptations where procurement deviates from DSD's procurement requirements where advance approval has not been obtained will not be paid.</p>
	<p>5) Payment claims which are invalid or incomplete. For example, certifications on AA1 and/or AW1 forms are invalid or incomplete or required documentation is not provided or is of a quality not sufficient to validate the payment claim.</p>
All advance approvals	<p>6) Conditions placed on advance approval have not been met.</p>
Major Adaptations	<p>7) Adaptations with costs over £20,000 will not be eligible for payment if advance approval from NIHE (DPG) has not been obtained or if the approval expiry date passed before work started on site.</p> <p>Substantive post-approval amendments to major adaptations will invalidate the advance approval by NIHE (DPG). Re-approval must be sought prior to implementation.</p>
	<p>8) Adaptations with costs over £20,000 where costs vary PLUS or MINUS at least 10% from the approved costs will not be eligible for payment if advance approval from NIHE (DPG) for the costs variation has not been obtained.</p>
<p>Notes.</p> <p>Any cases with exceptional circumstances may only be considered for payment by NIHE (DPG) on receipt of a compelling case put forward by the Association giving full account of the reasons, for example:</p> <ul style="list-style-type: none"> • Preventing a valid and complete payment claim being made for the full period from practical completion to the receipt date of when the claim was 'payable'; • Preventing application for approval being reasonably made in advance of completion of the adaptation works. In such exceptional retrospective cases the submission for approval and completed adaptation works must be fully compliant with Guide 	

requirements or the claim will not be paid;

- Preventing application for advance approval of any significant post-approval works or cost amendments prior to implementation;
- Preventing the conditions placed on the advance approval being met;
- Justifying an unforeseen cost overrun bringing final out-turn costs over the £20,000 advance approval threshold. The Tender price report, a detailed cost breakdown and explanation of the circumstances will also be required.

Note. If the works could reasonably have been expected to exceed the threshold prior to starting on site, and therefore advance approval should have been obtained, the adaptation/work amendment will not be eligible for grant.

The requirement for HAG recovery will be determined in such exceptional cases, where relevant, at the discretion of NIHE (DPG) with due regard to the specific circumstances of each case.
